



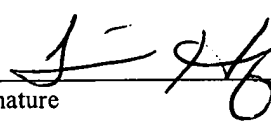
DOCKET NO.: T0457.70003US00/TJO/TC

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Tao T. Tao and Wei Bai
Serial No: 09/837,864
Confirmation. No.: 7511
Filed: April 18, 2001
For: ELECTROCHEMICAL DEVICE AND METHODS FOR
ENERGY CONVERSION
Examiner: Angela J. Martin
Art Unit: 1745

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 5 day of February, 2004.


Signature

Commissioner For Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith are the following documents:

- ☒ Information Disclosure Statement
- ☒ PTO Form 1449 with cited references
- ☒ Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

A check is not enclosed. If a fee is required, the Commissioner is hereby authorized to charge Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

By: 

Timothy J. Oyer, Ph.D., Reg. No.: 36,628
Tani Chen, Sc.D., Reg. No.: 52,728
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600 Atlantic Avenue
Boston, Massachusetts 02210-2211
Telephone: (617)720-3500

Date: February 5, 2004

xnddx



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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

STATEMENT FILED PURSUANT TO THE DUTY OF
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicants requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the filing date of a first Office Action on the merits in the above-identified case. No fee or certification is required.

PART II: Information Cited

The Applicant hereby makes the following additional information of record in the above-identified application.

The applicant would like to bring to the Examiner's attention the following co-pending applications that may contain subject matter related to this application:

| <u>Serial No.</u> | <u>Filing Date</u> | <u>Inventor(s)</u> |
|-------------------|--------------------|--------------------|
| 10/627,329 | July 25, 2003 | Tao et al. |
| 10/627,330 | July 25, 2003 | Tao et al. |

PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicants make no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicants make no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicants make no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Serial No.: 09/837,864
Confirmation No. 7511

- 3 -

Art Unit: 1745

Notwithstanding any statements by the Applicants, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

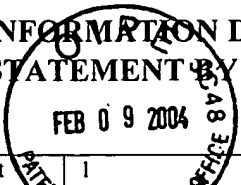
An early and favorable action is hereby requested.

Respectfully submitted,

By: 

Timothy J. Oyer, Ph.D., Reg. No. 36,628
Tani Chen, Sc.D., Reg No. 52,728
WOLF, GREENFIELD & SACKS, P.C.
600 Atlantic Avenue
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Telephone: (617) 720-3500

Docket No. T0457.70003US00/TJO/TC
Date: February 5, 2004
XNDDX

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|---|------|------------------------------------|-----------------------------------|
| FORM PTO-1449/A and B (Modified) | | APPLICATION NO.: 09/837,864 | ATTY. DOCKET NO.: T0457.70003US00 |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT  | | FILING DATE: April 18, 2001 | CONFIRMATION NO.: 7511 |
| | | APPLICANTS: Tao T. Tao and Wei Bai | |
| | | GROUP ART UNIT: 1745 | EXAMINER: Angela J. Martin |
| Sheet 1 | of 1 | | |

U.S. PATENT DOCUMENTS

| Examiner's Initials | Cite No. | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication or of issue of Cited Document MM-DD-YYYY |
|---------------------|----------|----------------------|-----------|---|---|
| | | Number | Kind Code | | |
| | A1 | 3,741,809 | | Anbar | 06/26/1973 |
| | | | | | |
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FOREIGN PATENT DOCUMENTS

| Examiner's Initials | Cite No. | Foreign Patent Document | | | Name of Patentee or Applicant of Cited Document (not necessary) | Date of Publication of Cited Document MM-DD-YYYY | Translation (Y/N) |
|---------------------|----------|-------------------------|-----------|-----------|--|---|-------------------|
| | | Office/Country | Number | Kind Code | | | |
| | B1 | WO | 01/80335 | A3 | CellTech Power, Inc. | 10/25/2001 | |
| | B2 | EP | 1132986 | A2 | Toyota Jidoshi Kabushiki Kaisha | 09/12/2001 | |
| | B3 | JP | 60/032254 | | Tech. Res. & Dev. Inst. of Japan Def. Agency | 02/19/1985 | (Abstract) |
| | | | | | | | |
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OTHER ART — NON PATENT LITERATURE DOCUMENTS

| Examiner's Initials | Cite No | Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published. | Translation (Y/N) |
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| EXAMINER | DATE CONSIDERED |
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#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. __, filed __, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

[NOTE - Must provide a copy of any patent, publication, other information listed, even if it was previously submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.]